Giant Ferroelectric Polarization of CaMn₇O₁₂ Induced by a Combined Effect of Dzyaloshinskii-Moriya Interaction and Exchange Striction

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By extending our general spin-current model to noncentrosymmetric spin dimers and performing density functional calculations, we investigate the causes for the helical magnetic order and the origin of the giant ferroelectric polarization of $CaMn_7O_{12}$. The giant ferroelectric polarization is proposed to be caused by the symmetric exchange striction due to the canting of the Mn^{4+} spin arising from its strong Dzyaloshinskii-Moriya interaction. Our study suggests that $CaMn_7O_{12}$ may exhibit a novel magneto-electric coupling mechanism in which the magnitude of the polarization is governed by the exchange striction, but the direction of the polarization by the chirality of the helical magnetic order.

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A crucial issue to solve in the field of spintronics is how to control the magnetism of a solid effectively with an electric field. Prospective candidates that can potentially host a strong magnetoelectric (ME) effect are multiferroics in which both magnetic and ferroelectric orders can coexist to host a strong magnetoelectric (ME) effect [1,2]. In particular, those with polarizations driven by a magnetic order are promising because of their intrinsic ME coupling. A direct coupling between magnetism and ferroelectricity was demonstrated in several multiferroics [3–8], but the magnitudes of their polarizations are usually rather small. Very recently, it was reported [9,10] that a mixed-valent manganate CaMn₇O₁₂, consisting of one Mn⁴⁺ and six Mn³⁺ ions per formula unit (FU), exhibits a giant ferroelectric polarization (2870 μ C/m²) along the *c* direction at 90 K, below which it adopts a helical magnetic order with propagation vector (0, 1, 0.963). This giant ferroelectric polarization in CaMn₇O₁₂ is puzzling: according to the spin-current model of Katsura et al. [11], the helical magnetic structure cannot induce a nonzero ferroelectric polarization. Recently, we presented a more general model [12] that explains the ferroelectric polarizations induced by a helical magnetic structure. These ferroelectric polarizations arise from spin-orbit coupling (SOC) and hence are very weak in general. Currently, the microscopic origin of the giant ferroelectric polarization in CaMn₇O₁₂ is unknown, although a phenomenological ferroaxial coupling mechanism [10,13] has been proposed.

In this Letter, we show on the basis of first principles density functional theory (DFT) calculations that the giant ferroelectric polarization originates mainly from the symmetric exchange striction associated with a particular spin exchange path between Mn^{4+} and Mn^{3+} ions, the Dzyaloshinskii-Moriya (DM) antisymmetric interaction [14] between them is unusually strong for magnetic

insulators (i.e., $|D/J| \approx 0.54$ compared with $|D/J| \leq \sim 0.1$ usually expected [14]), and CaMn₇O₁₂ exemplifies a novel ME coupling mechanism.

Above ~440 K CaMn₇O₁₂ has a distorted perovskite structure in which, per FU, one Ca^{2+} and three Mn^{3+} (Mn1) ions occupy the A sites with the remaining four $Mn^{3.25+}$ ions at the *B* sites. On cooling below ~440 K CaMn₇O₁₂ undergoes a structural phase transition adopting the space group $R\bar{3}$ [15], in which the four Mn^{3.25+} ions per FU undergo a charge order into one Mn⁴⁺ (Mn3) and three Mn³⁺ (Mn2) ions without breaking the inversion symmetry. The Mn1³⁺ and Mn2³⁺ ions form chains along the c direction (hereafter //c-chains) [Fig. 1(a)] such that, in every three adjacent //c-chains, the interchain Mn1-Mn2 connections form a spiral chain [Figs. 1(a) and 1(b)]. The spiral chains of Mn^{3+} ions share their //c-chains to form hexagonal tunnels [Fig. 1(c)], which are each occupied by a chain of alternating Mn⁴⁺ and Ca²⁺ ions so that the Mn^{4+} and Ca^{2+} ions are each surrounded by six //c-chains of Mn^{3+} ions [Fig. 1(d)]. The neutron diffraction measurements [10] show that in the helical magnetic state in the temperature range $T_{N2}(48 \text{ K}) < T <$ $T_{N1}(90 \text{ K})$, the Mn³⁺ spins of each //c-chain are nearly perpendicular to the c-axis and are ferromagnetic (FM) [Fig. 1(e)]. In each spiral chain made up of three //c-chains, the spins of the three FM chains have a compromised arrangement with 120° between the spins of adjacent //c-chains [Fig. 1(f)], showing the presence of spin frustration between them. The Mn⁴⁺ spins, which are nearly perpendicular to the c-axis, make an angle of $\sim 90^{\circ}$ with the Mn³⁺ spins in one set of the three FM chains [dotted triangle in Fig. 1(f)] and $\sim 30^{\circ}$ with the Mn³⁺ spins in another set of the three FM chains [dashed triangle in Fig. 1(f) [hereafter, the (90°, 30°) spin arrangement].



FIG. 1 (color online). (a) Three adjacent //c chains of Mn^{3+} ions, where the blue and grey circles represent the Mn1 and Mn2 atoms, respectively. (b) A spiral chain made up of three adjacent //c-chains of Mn^{3+} ions viewed approximately along the *c* direction. (c) Three-dimensional arrangement of the Mn^{3+} and Mn^{4+} ions in CaMn₇O₁₂ viewed approximately along the *c* direction. For simplicity, the Ca²⁺ ions are not shown. (d) Arrangements of the Mn^{3+} ions surrounding two adjacent Mn^{4+} and Ca²⁺ ions, where green and yellow circles represent the Mn3⁴⁺ and Ca²⁺ ions, respectively, and the blue and grey circles the Mn1³⁺ and Mn2³⁺ ions, respectively. (e) Arrangement of the Mn^{3+} spins in a single //c chain. (f) Projection view of the Mn^{3+} and Mn^{4+} spin arrangements in CaMn₇O₁₂ along the *c* direction, where each triangle represents three //c-chains forming a spiral chain. The spins of each FM //c-chain are represented by a single spin. (g) Two important spin exchange paths in a spiral chain made up of three adjacent //c-chains, where the numbers 1 and 2 refer to J_1 and J_2 , respectively. J_1 is FM, and J_2 is AFM.

To account for the observed magnetic structure of CaMn₇O₁₂ below 90 K, we first evaluate various symmetric spin exchange interactions between the Mn1³⁺, Mn2³⁺ and Mn3⁴⁺ ions. These ions form the Mn1O₄ square planes, the axially compressed Mn2O₆ octahedra, and the Mn3O₆ octahedra [Figs. 2(a)-2(c)], so that their *d*-states are split as depicted in Fig. 2(d) according to the computed partial density of states (see Part 3 of [16]). If the distance between the magnetic ions in a spin dimer is restricted to be shorter than 3.7 Å, there are seven different spin exchange paths $J_1 - J_7$ between the Mn1³⁺, Mn2³⁺ and Mn3⁴⁺ ions (see Fig. S1 of [16]). We evaluate the values of the spin exchanges $J_1 - J_7$ by performing the energy-mapping analysis [17] on the basis of DFT + U calculations (see Part 1 of [16]). The justification for the use of U = 2 and 3 eV in our calculations are given in Part 8 of [16]. Unless mentioned otherwise, results from our calculations with U = 3 eV are presented in the following. The exchange J_1 between adjacent Mn1³⁺ and Mn2³⁺ ions in a //c-chain [Fig. 2 (a)] is strongly FM ($J_1 = -5.57$ meV, which is an effective spin exchange obtained by setting $|\mathbf{S}_i| = 1$, namely, $J_{ii}^{\text{eff}} = J_{ii}S_iS_i$ for a spin dimer *ij*). The hybridization between the occupied t_{2g} states of one Mn ion and the empty \mathbf{e}_g states of the neighboring Mn ion is stronger for the FM than for the AFM spin arrangement because the energy difference between the occupied and empty *d* states is smaller for the FM arrangement, thereby leading to FM J_1 , which is responsible for the FM arrangement of the Mn³⁺ spins in each //*c*-chain. The exchange J_2 between Mn1³⁺ spins between adjacent //*c*-chains [Fig. 2(b)] is strongly AFM ($J_2 = 6.37$ meV) due to the Mn-O...O-Mn supersuperexchange interactions [18]. Consequently, these interchain AFM exchanges cause a strong spin frustration between adjacent FM //*c*-chains [Fig. 1(g)], and hence the three FM //*c*-chains in each spiral chain adopt the compromised 120° spin arrangement [Fig. 1(f)].

Our DFT + U + SOC calculations show that the Mn1³⁺ has an easy-axis anisotropy (1.0 meV/Mn) with the easy-axis perpendicular to the Mn1O₄ plane [Fig. 2(a)], while the Mn2³⁺ ion has an easy-plane anisotropy (1.5 meV/Mn) with the easy-plane perpendicular to the axially-compressed Mn-O bonds (see Part 4 of [16] for details). As depicted in Fig. 2(a), the easy-axis of the Mn1³⁺ spin and the easy-plane of the Mn2³⁺ spin are much closer to the ab-plane than to the *c*-axis. The observed orientation of the Mn1³⁺ and Mn2³⁺ spins [Fig. 1(e)] [10],



FIG. 2 (color online). (a) The spin exchange path J_1 between adjacent Mn1³⁺ (blue circle) and Mn2³⁺ (grey circle) ions in each //c-chain. (b) The spin exchange path J_2 between Mn1³⁺ ions that occurs between adjacent //c-chains, where the dotted lines are the O...O contacts shorter than the van der Waals radii sum 3.04 Å (namely, 2.708, 2.727, 2.763, and 2.831 Å). (c) The exchange path J_3 between Mn1³⁺ (blue circle) and Mn3⁴⁺ (green circle), and the exchange path J_4 between Mn2³⁺ (grey circle) and Mn3⁴⁺. (d) The *d*-state split patterns of the Mn1O₄ square plane, the axially compressed Mn2O₆ octahedron, and the Mn3O₆ octahedron that best describe the partial density of states [16]. The blue arrows indicate the *d*-states of Mn3⁴⁺ and Mn2³⁺ ions involved in the three hopping processes leading to the large DM interaction between adjacent Mn3⁴⁺ and Mn2³⁺ ions in the spin exchange path J_4 .

in which all spins are almost perpendicular to the *c*-axis, is a combined effect of the single-ion anisotropies and the strong FM spin exchange J_1 between adjacent Mn1³⁺ and Mn2³⁺ ions.

Let us now consider the preferred orientation of the $Mn3^{4+}$ spin. Each $Mn3^{4+}$ ion is surrounded by six $Mn1^{3+}$ ions and also by six $Mn2^{3+}$ ions [Figs. 1(d), 3(a), and 3(b)]. Thus, each Mn3⁴⁺ ion has six spin exchanges J_3 with the $Mn1^{3+}$ ions and six spin exchanges J_4 with the $Mn2^{3+}$ ions [Figs. 1(d) and 2(c)]. Note from Figs. 1(d)-1(f) that the Mn1³⁺ and Mn2³⁺ ions have an identical spin direction if their c-axis heights are the same. In Figs. 3(a) and 3(b) depicting the environment of a Mn⁴⁺ surrounded by 12 Mn^{3+} ions, the site number 0 refers to the Mn^{4+} ion, the site numbers 1–3 to three pairs of $Mn1^{3+}$ and $Mn2^{3+}$ ions with identical spin direction, and the site numbers 4-6 to another three pairs of $Mn1^{3+}$ and $Mn2^{3+}$ ions with identical spin direction. For simplicity, we set $|\mathbf{S}_i| = 1$, and define the unit vector $\mathbf{e}_{\mathbf{x}}$ along the direction of $\mathbf{S}_1 + \mathbf{S}_4$, and $\mathbf{e}_{\mathbf{y}}$ orthogonal to $\mathbf{e}_{\mathbf{x}}$ in the plane as in Fig. 3(a) so that $\mathbf{e}_{\mathbf{z}} =$ $e_x \times e_y$ points toward the reader. Thus, if $S_1 \times S_4$ is along \mathbf{e}_z , then $\mathbf{S}_4 - \mathbf{S}_1$ is along \mathbf{e}_y , i.e., $\frac{\mathbf{S}_1 - \mathbf{S}_4}{|\mathbf{S}_1 - \mathbf{S}_4|} = \mathbf{e}_y \operatorname{sign}[\mathbf{e}_z \cdot \mathbf{e}_y]$ $(\mathbf{S}_4 \times \mathbf{S}_1)$]. The spins of the two different sets make the angle of 120° between them. Given α as the angle the spin vector S_0 makes with e_x , then the total spin exchange interaction energy E_{SE} of a Mn^{4+} spin with its 12 adjacent Mn^{3+} spins is given by

$$E_{\rm SE} = (J_3 + J_4) \mathbf{S_0} \cdot (\mathbf{S_1} + \mathbf{S_2} + \mathbf{S_3} + \mathbf{S_4} + \mathbf{S_5} + \mathbf{S_6})$$

= $3(J_3 + J_4) \cos \alpha.$ (1)

Therefore, as long as the sum $(J_3 + J_4)$ is negative (i.e., net FM), which is indeed the case (see Part 8 of [16]), the lowest energy occurs for $\alpha = 0^{\circ}$, i.e., for the (60°, 60°) arrangement of the Mn3⁴⁺ spins. This argument is confirmed by direct DFT + U calculations for the energy $E_{\rm SE}(\alpha)$ of $CaMn_7O_{12}$ with the $Mn1^{3+}$ and $Mn2^{3+}$ spins fixed at the experimentally observed orientations but the spin orientation of the Mn3⁴⁺ ions varied as a function of the angle α . We find the minimum of $E_{\rm SE}(\alpha)$ at $\alpha = 0^{\circ}$ [Fig. 3(c)], consistent with the above analysis, but in disagreement with the experimental finding that the minimum of $E_{\rm SE}(\alpha)$ occurs at $|\alpha| \approx 30^{\circ}$ [i.e., for the (90°, 30°) arrangement] [10]. DFT + U + SOC calculations show the energy minimum of $E_{SE}(\alpha)$ —at $\alpha_m = -11^{\circ}$ [Fig. 3(c)]—in qualitative agreement with experiment indicating the DM interactions to be responsible for the $(90^\circ, 30^\circ)$ spin arrangement of the Mn3⁴⁺ ions (see below). We point out that $\alpha_m = -28^\circ$ is obtained from DFT + U + SOC calculations with



FIG. 3 (color online). (a, b) The top and side views of six Mn1³⁺ and six Mn2³⁺ ions surrounding a Mn3⁴⁺ ion. The directions of the Mn1³⁺ and Mn2³⁺ spins, observed experimentally, are also shown. (c) The total energy and electric polarization as a function of the Mn3⁴⁺ spin direction α (see the text for the definition). In the absence of SOC (designated as NSOC), the energy minimum occurs when $\alpha = 0^{\circ}$. In the case of SOC, $\alpha = -11^{\circ}$.

U = 2 eV, in good agreement with experiment (see Part 8 of [16]).

We now examine the ferroelectric polarization of CaMn₇O₁₂ by simulating the experimental helical magnetic state with the commensurate helical state $\mathbf{k} = (0, 1, 1)$ in terms of the hexagonal unit cell. Our DFT + U + SOC calculations show that this helical state has a band gap of 0.45 eV and is more stable than the FM state by 18 meV per FU. The ferroelectric polarization of this helical state with $|\alpha| \approx 30^{\circ}$ is along the *z* direction (i.e., *c*-direction) with $P_z = 4496 \ \mu C/m^2$ from DFT + U + SOC calculations, but $P_z = 3976 \ \mu C/m^2$ from DFT + U calculations. Consequently, the giant ferroelectric polarization of CaMn₇O₁₂ is caused mainly by exchange striction rather than by SOC.

Given the above finding, it is important to probe which symmetric spin exchange interaction is crucial for the large ferroelectric polarization. Thus we first extend our general spin current model for ferroelelctric polarization [12] to include spin dimers with no centrosymmetric symmetry because the spin dimers of CaMn₇O₁₂ are noncentrosymmetric (see Part 5 of [16]). For a spin dimer containing two spin sites 1 and 2 with no inversion symmetry at the center, the polarization P_{12} induced by the spin arrangement (S₁, S₂) in the absence of SOC effect can be written

the usual symmetric exchange striction term as $\mathbf{P}_{12}(\mathbf{S}_1, \mathbf{S}_2) = \mathbf{P}_{es}(\mathbf{S}_1 \cdot \mathbf{S}_2)$. For the seven exchange paths of the experimental CaMn₇O₁₂ structure, we evaluate their $P_{\rm es}$ by performing DFT + U calculations using an energymapping method similar to that used to extract the spin exchange parameters [17]. Our calculations show that two exchange paths J_4 and J_5 have the largest coefficients, namely, $\mathbf{P}_{es}^4 = (-0.024, -0.042, 0.029) \ e\text{\AA}$ and $\mathbf{P}_{es}^5 =$ (-0.026, -0.048, 0.054) eÅ. The remaining spin exchange paths lead to much smaller coefficients. The contribution of \mathbf{P}_{es}^{5} to the total electric polarization vanishes by symmetry, but $P_{\mbox{\scriptsize es}}^4$ has a large contribution to the total electric polarization. The large P_{es}^4 arises not only from the small energy gap between the occupied Mn2³⁺ d_{x2-v2} \uparrow state and the empty $Mn3^{4+}$ e_g states in the FM arrangement of the spins in the J_4 path but also from the large \angle Mn3-O-Mn2 angle (137.6°), because both reinforce the interaction between the occupied and empty states [Fig. S5(a) and (b) of [16]]. Note from Fig. 1(f) that in the $(90^\circ, 30^\circ)$ spin arrangement the Mn3⁴⁺ spins give rise to FM-like ($\sim 30^{\circ}$) interactions with the Mn2³⁺ spins of the dashed triangle. The difference electron density map between the FM and AFM coupling cases (Fig. S5(d) of [16]) shows the transfer of some electrons from the Mn2 d_{x2-y2} to the Mn3 d_{z2} state.

To understand the role played by SOC [19] on the spin direction of Mn3⁴⁺, we calculate the DM vectors associated with the seven spin exchange paths J_1-J_7 using our energy-mapping method [17]. The DM vector for the $Mn2^{3+}$ and $Mn3^{4+}$ ions in the exchange path J_4 is anomalously large, namely, $|\mathbf{D}_4| = 1.61 \text{ meV}$ and $D_4^z =$ 1.36 meV. The latter is about 54% of the symmetric exchange interaction J_4 (see Table S1 of [16]). Why the DM interaction D_4 is so strong is discussed in Part 7 of [16]. The DM vector for the Mn2³⁺ ions in the exchange path J_5 is also relatively large but is not relevant for determining the Mn3⁴⁺ spin direction. To see if the DM interaction associated with D_4 is indeed responsible for the Mn3⁴⁺ spin direction, we now write the total spin interaction energy E_{tot} of Mn3⁴⁺ with its 12 neighboring Mn³⁺ ions as $E_{\text{tot}} = E_{\text{SE}} + E_{\text{DM}}$, where E_{SE} is given by Eq. (1), and the DM interaction energy $E_{\rm DM}(\alpha)$ by

$$E_{\rm DM}(\alpha) = \mathbf{D}_{01} \cdot [\mathbf{S}_0 \times (\mathbf{S}_1 + \mathbf{S}_4)] + \mathbf{D}_{02} \cdot [\mathbf{S}_0 \times (\mathbf{S}_2 + \mathbf{S}_5)] + \mathbf{D}_{03} \cdot [\mathbf{S}_0 \times (\mathbf{S}_3 + \mathbf{S}_6)] = 3D_4^z \sin\alpha.$$
(2)

In deriving the above expression, use was made of the fact that $\mathbf{D}_{01} = \mathbf{D}_{04}$ because the spin dimers 0-1 and 0-4 are related by the inversion symmetry, and $D_{01}^z = D_{02}^z = D_{03}^z$ due to the threefold rotational symmetry. Therefore,

$$E_{\text{tot}}(\alpha) = 3(J_3 + J_4)\cos\alpha + 3D_4^z\sin\alpha \qquad (3)$$

so the minimum of $E_{tot}(\alpha)$ is obtained when the Mn3⁴⁺ spin is along the direction given by $\alpha_m = \arctan[D_4^z/(J_3 + J_4)]$. Our calculations show $D_4^z = 1.36$ meV,

 $J_3 = -3.92 \text{ meV}, J_4 = -2.96 \text{ meV}$ so that $\alpha_m = -11.2^\circ$, which agrees with the result from the direct first principles calculations [With the J_3 , J_4 and D_4^z parameters determined from DFT + U + SOC calculations with U = 2 eV, we obtain $\alpha_m = -36^\circ$ in closer agreement with -28° from the direct DFT calculations (see Part 8 of [16])]. With the definition of the local coordinate system, α_m does not depend on the chirality and the propagation vector of the helical state.

It should be noted that the spin orientation of $Mn3^{4+}$ is also responsible for the strong ferroelectric polarization arising from the exchange striction. Because of the threefold rotational symmetry, the total polarization is along *z*. The polarization per $Mn3^{4+}$ from the exchange striction mechanism can be expressed as

$$P_{z} = P_{e_{s,4}}^{z} [\mathbf{S}_{0} \cdot (\mathbf{S}_{1} - \mathbf{S}_{4} + \mathbf{S}_{2} - \mathbf{S}_{5} + \mathbf{S}_{3} - \mathbf{S}_{6})]$$

$$= 3P_{e_{s,4}}^{z} [\mathbf{S}_{0} \cdot (\mathbf{S}_{1} - \mathbf{S}_{4})]$$

$$= 3P_{e_{s,4}}^{z} [\mathbf{S}_{4} - \mathbf{S}_{1}] [(\cos\alpha) \mathbf{e}_{\mathbf{x}}]$$

$$+ (\sin\alpha) \mathbf{e}_{\mathbf{y}}] \cdot \mathbf{e}_{\mathbf{y}} \text{sign} [\mathbf{e}_{\mathbf{z}} \cdot (\mathbf{S}_{4} \times \mathbf{S}_{1})]$$

$$= 3\sqrt{3}P_{e_{s,4}}^{z} (\sin\alpha) \text{sign} [\mathbf{e}_{\mathbf{z}} \cdot (\mathbf{S}_{4} \times \mathbf{S}_{1})]. \quad (4)$$

Thus the magnitude of P_z depends almost linearly on α , because $\sin \alpha \approx \alpha$ for small α . This finding is consistent with the direct DFT calculations [Fig. 3(c)]. When $\alpha = -30^\circ$, the polarization becomes $-4000 \ \mu C/m^2$. Thus, the large electric polarization originates from the combined effect of the exchange striction and DM interaction.

It is important to note from Eq. (4) that the direction of the polarization depends on the scalar chirality $\sigma \propto \mathbf{e}_{\mathbf{z}}$. $(\mathbf{S}_4 \times \mathbf{S}_1) \propto \mathbf{r}_{41} \cdot (\mathbf{S}_4 \times \mathbf{S}_1)$. Because of the presence of the DM interaction, two equivalent states with opposite electric polarizations must have the opposite spin chirality. Thus, the polarization reversal, induced by switching the electric field direction, will cause the chirality reversal of the helical spiral. This usually occurs in a multiferroic system such as $LiCu_2O_2$ [20] where the ferroelectricity is due purely to the SOC effect. In the case of $CaMn_7O_{12}$, however, the mechanism of switching the spin chirality is different: The magnitude of the ferroelectric polarization in CaMn₇O₁₂ is determined by the exchange striction (thus could be large), but the sign of the polarization by that of the chirality σ of the helical magnetic structure due to the strong DM interaction. In the ferroaxial mechanism of Johnson *et al.* [10,13], both the magnitude and the sign of the polarization are determined by the chirality σ of the helical magnetic structure.

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